

PCT

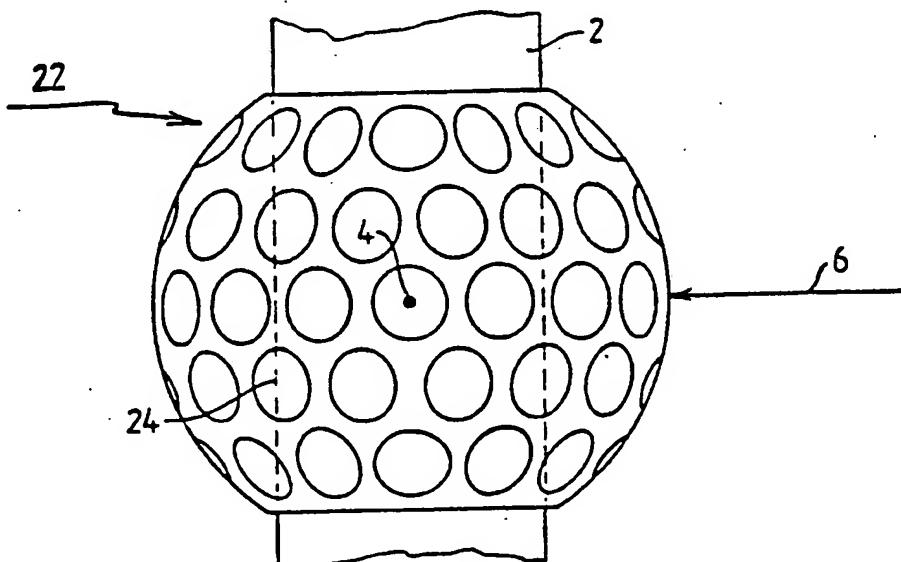
WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 4 : <b>G01N 21/00</b>	A1	(11) International Publication Number: <b>WO 85/ 04014</b> (43) International Publication Date: <b>12 September 1985 (12.09.85)</b>
---	----	---

(21) International Application Number: <b>PCT/US85/00314</b> (22) International Filing Date: <b>26 February 1985 (26.02.85)</b>  (31) Priority Application Number: <b>3841/84</b> (32) Priority Date: <b>29 February 1984 (29.02.84)</b> (33) Priority Country: <b>AU</b>  (71) Applicant: RESEARCH CORPORATION [US/US]; Suite 853, 25 Broadway, New York, NY 10004 (US).  (72) Inventor: BOEHMER, Ralph-Michael ; Flat 4, 9 Robe Street, St. Kilda, VIC 3182 (AU).  (74) Agent: SCOTT, Anthony, C.; Scully, Scott, Murphy & Presser, 200 Garden City Plaza, Garden City, NY 11530 (US).	(81) Designated States: DE (European patent), FR (Euro- pean patent), GB (European patent), JP.
---	--

(54) Title: FLOW CYTOMETERS



(57) Abstract

A flow cytometer and a method for determining properties of single cells or other particles (40) including passing stream of particles through a zone of analysis where a light source directs a beam of light (6) to perpendicularly intersect the stream of particles so that only a single cell (4) is exposed to the light beam (6). An array of optical fibers adjacent the zone of analysis collects the light refracted by the cells as each cell (4) passes through the zone of analysis. Each fibre is connected to a photomultiplier for converting the light to electrical signals which are analyzed by an electronic analysis unit to determine the particle properties. The angle at which the light is collected by said optical fibre is adjustable to permit more light to be collected to yield more information about the particle.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GA	Gabon	MR	Mauritania
AU	Australia	GB	United Kingdom	MW	Malawi
BB	Barbados	HU	Hungary	NL	Netherlands
BE	Belgium	IT	Italy	NO	Norway
BG	Bulgaria	JP	Japan	RO	Romania
BR	Brazil	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	LJ	Liechtenstein	SN	Senegal
CH	Switzerland	LK	Sri Lanka	SU	Soviet Union
CM	Cameroon	LU	Luxembourg	TD	Chad
DE	Germany, Federal Republic of	MC	Monaco	TG	Togo
DK	Denmark	MG	Madagascar	US	United States of America
FI	Finland	ML	Mali		
FR	France				

1

-1-

FLOW CYTOMETERSBACKGROUND OF THE INVENTION

5           The present invention relates to flow cytometers.

10          Flow cytometers (FCM) are instruments by which properties of single cells or other particles in suspension can be determined. Conventionally, an FCM consists of the following basic components:

15          i. A liquid flow system by which cells in suspension, which may be loaded with fluorescent dye, are transported in a vertical particle stream and passed singly, one after another, across a zone of analysis where they are exposed to an intense light beam. This zone may be located in open air or in a glass flow chamber;

20          ii. A light source and focussing system which directs a light beam (for example a laser beam) sharply focussed into the zone of analysis within the particle stream such that only a single cell will be exposed to the beam;

25          iii. An optical detection system, by which the scattered or fluorescent light pulses emitted by each cell at the moment when the cell passes across the beam, is collected, selected according to wavelength and converted into electronic pulses;

30          iv. An electronic analysis unit by which these pulses are processed and analyzed for the desired information about the cell characteristics which can be obtained from the light pulses.

-2-

1 A conventional optical detection system is  
shown schematically in Figure 1, which is a horizontal  
section through a flow chamber of an FCM.

5 In Figure 1, the flow chamber through which  
the particle stream passes is shown at 2, the section  
being taken at the point at which the incident light  
beam intersects the stream. The cell instantaneously  
exposed to the beam is shown at 4 and the incident light  
beam is shown at 6. The light pulses which are emitted  
10 from the cell 4 are collected perpendicularly to the  
incident beam 6 within a solid angle ( ) by a lens 8,  
then passed through a first beam splitter 10a. The  
light deflected by the first beam splitter 10a is passed  
through a color filter 12 onto a first photomultiplier  
15 PM<sub>1</sub> for transformation into electronic signals. The  
light transmitted through the first beam splitter 10a  
meets a second beam splitter 10b. The light respectively  
deflected and transmitted by the second beam splitter  
passes through further color filters 14, 16 to further  
20 photomultipliers, PM<sub>2</sub> and PM<sub>3</sub>. Thus the light pulses  
are analyzed in three different parts of the wavelength  
spectrum.

25 This conventional detection system is disadvantageous in that each part of this system needs to be  
adjusted for correct location in three dimensions, and  
even with very experienced operators, initial adjustments  
and readjustments during measurement may involve  
several hours work. With systems effecting more than  
30 three color analysis, the use of a highly skilled  
operator is required for operation.

-3-

1        Further, with this conventional system, all  
analysis is restricted to the two dimensional plane in  
which the optical system is mounted. An analysis which  
could be carried on without such restriction would yield  
more information concerning the light scatter character-  
5        istics of cells, and a higher proportion of the omni-  
directional, but normally weak, fluorescent light could  
be collected.

SUMMARY OF THE INVENTION

10      According to the present invention, there is  
provided an optical detection system in a flow  
cytometer, comprising an array of optical fibres which  
are located directly adjacent to the zone where the  
light from the cell is emitted, whereby the fibres act  
to collect emitted light.

15      At most, the ends of the fibres will be within  
a few millimeters from the cell.

BRIEF DESCRIPTION OF THE DRAWINGS

20      The invention will now be further described,  
by way of example only, with reference to the accompany-  
ing drawings, in which:

Figure 1 is a schematic of a conventional  
prior art optical detection system;

25      Figure 2 is a schematic horizontal cross-  
section through a flow chamber of a flow cytometer to  
illustrate the basic principles of the present inven-  
tion;

Figure 3 is a similar horizontal section of a  
first practical embodiment of the invention;

30      Figure 4 is a side view of the embodiment of  
Figure 3;

35

-4-

1      Figure 5 is a horizontal section of a second  
practical embodiment of the invention; and

Figure 6 is a side view of the embodiment of  
Figure 5.

5      DESCRIPTION OF THE INVENTION

In accordance with the invention it has been determined that optical fibres can be used to collect directly the fluorescent or scattered light from the cell. A very simple mounting system for the fibres can be used which does not require a high accuracy in setting up. More particularly, the optical fibres may be held by the hand or fixed with a putty-like substance about 1 mm from the flow chamber and with this form of mounting the readings of scatter and fluorescence signals obtained have been found to have the same order of accuracy as achieved by a conventional optical system when set up in its optimum manner.

Figure 2 shows, schematically, a horizontal section through a transparent vertical flow chamber 2 through which the particle stream passes centrally, the section being taken at the point at which the light beam intersects the stream. The excited cell is shown at 4, and the incident light beam is shown at 6. An optical fibre which directly collects the emitted light is shown at 20. In the configuration shown in Figure 2, the optical fibre 20 collects light emitted from the cell 4 within a solid angle  $\propto$  along an axis inclined at an angle  $\beta$  to the incident beam 6. As will be apparent, simply by moving the fibre 20 toward or away from the chamber 2, the measured solid angle  $\propto$  can be changed; a

-5-

1 similar effect can be obtained by altering the size of  
the light-acceptance aperture by means of an aperture  
mask at the end of the fibre. The fibre can also be  
moved in order to change the angle  $\beta$  relative to the  
incident beam 6. The choice of angles  $\alpha$  and  $\beta$  is not  
5 given in conventional detection systems where both  
angles are fixed.

In one practical embodiment as shown in  
Figures 3 and 4, a part-spherical shell 22 is mounted  
10 around part of the flow chamber 2, the center of the  
sphere being coincident with the instantaneously excited  
cell 4 in the chamber 2. Thus, the center of the shell  
22 is coincident with the point of intersection of the  
incident light beam 6 with the particle stream. The  
beam 6 passes through an appropriate opening 23 in the  
15 shell 22. Holes 24 are formed through the wall of the  
shell 22, the axis of each hole 24 lying on a  
different radial axis of the shell 22 so that each hole  
24 faces toward the excited cell 4. A group of optical  
fibres is provided (not shown), the fibres leading to  
20 one or more photomultipliers. The ends of the fibres  
can be removably plugged into any one of the holes 24 in  
the shell 22 to enable readings to be taken at selected  
points around the cell 4, in other words at different  
25 angles of  $\beta$  with the possible variation of this angle  
not only being in the plane of Figure 2 but also in  
planes inclined to that of Figure 2. A compromise has  
to be made between the desire for high angular resolu-  
tion by small solid angles and the need to collect  
sufficient amounts of light. Therefore, in practice,  
30 the solid angle  $\alpha$  of light collection for each photo-

-6-

1 multiplier also needs to be variable. Possible methods  
of varying the solid angle include the following:

5 a. Different sizes of holes 24 for fitting  
different diameter fibres. This would require a pre-  
determination of angles of interest for the scatter  
light analysis, where the angle of resolution is im-  
portant, the remaining angles being free for larger size  
fibres collecting the omnidirectional fluorescent light.

10 b. Fibre fittings for allowing variation of  
depth of fibre plugging, thus varying the angle of light  
acceptance by the distance of the light acceptance  
aperture from cell 4.

15 c. Photomultipliers for allowing collective  
entry of many fibres, so that for weak fluorescent  
light, the light from different directions may be  
collected by several fibres and directed into one  
photomultiplier.

20 Since fibres are relatively inexpensive, the  
fibres may be fixedly mounted in the shell 22. In this  
embodiment each hole 24 is non-removably plugged with a  
fibre, with the selection of light analysis angles being  
obtained by plugging the other ends of the relevant  
fibres into selected photomultipliers. This would  
facilitate the precision-setting of all fibres on the  
shell 22 and thus reduce alignment problems.

25 The shell 22 may be supported by a mounting  
system which allows adjustment of the position of the  
shell 22 in all directions relative to the flow chamber.

-7-

1 Alternatively, the shell 22 may be mounted by a pre-  
cision lock in a fixed position relative to the flow  
chamber, to thereby avoid the necessity of having to  
align the system subsequent to manufacture.

5 It is to be noted that the flow chamber 2 is  
not of conventional rectangular cross-section, but in  
the embodiment shown is of circular cross-section, the  
chamber being of cylindrical form. Alternatively, the  
chamber may be of spherical form, with the entry and  
10 exit areas of the incident light beam being flattened.  
In this case all non-perpendicular transitions of light  
through the interface between glass and air would be  
avoided. However, the use of a flow chamber is not  
essential, and the system shown in Figures 3 and 4 can  
15 be used in an FCM in which the particle stream moves  
through open air.

In another practical embodiment, as shown in  
Figures 5 and 6, the chamber 2 extends through a para-  
bolic reflective shell 30 with the instantaneously  
20 excited cell 4 being at the focus of the parabola. This  
parabolic shell 30 is closed by a circular plate 32 the  
center of which is apertured for passage of the incident  
light beam 6 onto the cell 4 at the focus of the shell  
30. The shell itself is provided with an aperture 33 in  
25 alignment with the central aperture in the plate to  
permit exit of the light beam 6. Holes 34 are formed  
through the plate 32 in a number of concentric rows.  
With each hole 34 being directed perpendicularly to the  
plane of the plate 32, i.e. parallel to the light beam  
30 6.

-8-

1 Due to the parabolic form of the reflective  
shell 30, the scattered and fluorescent light will be  
reflected parallel to the axis of the parabola, that is  
at right angles to the plate 32 and parallel to the  
5 incident beam 6. Optical fibres leading to photo-  
multipliers can be plugged into selected ones of the  
holes 34. As will be apparent each concentric row of  
holes will be associated with light scattered at the  
10 periphery of discrete cone angles, and fibres plugged  
into the respective rings will collect light at dif-  
ferent points around the relevant cone angle. In  
effect, the use of the parabolic shell enables the  
collection of the light emitted all around a certain  
15 cone angle and this can be detected with high angular  
resolution despite an overall large area of light  
collection due to rings of fibres.

Instead of using a reflective paraboloid  
separate from the flow chamber, it might be advantageous  
to shape the whole flow chamber accordingly and provide  
the chamber with a reflective coating. This would avoid  
20 non-perpendicular transition of light through interfaces  
of media with different refractive indices (glass-air)  
and thus avoid reflection and beam-shift problems.

The use of a fixed or movable mounting system  
25 for the shell itself and of removable or non-removable  
fibres as discussed in connection with the previous  
embodiment, applies to this embodiment also.

In the two practical embodiments described,  
color discrimination filters can be associated with the  
fibres, the filters preferably being positioned at the  
30 point where the fibres enter the housing of the photo-  
multipliers.

-9-

1 If light polarization studies are to be performed, polarizing filters must be applied before the light enters the fibres because of the depolarizing effect of fibre light conductors.

5 The use of optical fibres to directly collect the emitted light provides enhanced flexibility of measurement in relation to that of a conventional optical system, and permits easier setting up of experiments. More specifically, the main advantages of the described systems are:

10 i. Reduction of optical alignment problems;  
ii. Reduced need for highly skilled personnel for operating the system;  
iii. Reduced cost of flow cytometers;  
iv. Increased versatility for sophisticated  
15 non-routine investigations on cell discrimination.

20 While illustrative embodiments of the subject invention have been described and illustrated, it is obvious that various changes and modifications can be made therein without departing from the spirit of the present invention which should be limited only by the scope of the appended claims.

-10-

1 WHAT IS CLAIMED IS:

1. A flow cytometer comprising:  
means for transporting a stream of particles having cells in suspension such that each cell passes singly through an analysis zone;
- 5 a light source for directing a light beam that perpendicularly intersects said particle stream at said analysis zone such that only a single cell will be exposed to said light beam;
- 10 an optical detection means including at least one optical fibre located adjacent said analysis zone for collecting the light emitted by each cell as each cell passes through said analysis zone; and
- 15 electronic means connected to said optical fibres for converting the light collected by said optical fibres into electrical impulses and analyzing said impulses for the desired information.
- 20 2. The flow cytometer of Claim 1, wherein said optical detection means includes an array of optical fibres.
- 25 3. The flow cytometer of Claim 1 or 2, wherein each of said optical fibres collects the light emitted by the cells within a collection angle defined by the cell and the outer perimeter of the optical fibre and at an incident angle defined by the light beam and the longitudinal axis of the optical fibre.
- 30 4. The flow cytometer of Claim 3, wherein said collection angle is adjustable by changing the distance between said cell and said optical fibres.
- 35 5. The flow cytometer of Claim 3, wherein each of said optical fibres include an aperture mask for adjusting the collection angle.

-11-

1       6. The flow cytometer of Claim 1, 2 or 3,  
wherein said optical detection means includes a shell  
mounted around the analysis zone, said shell having an  
opening for allowing said light beam to pass through  
said shell into said analysis zone, said shell further  
5       including a plurality of apertures for receiving at  
least one optical fibre.

10      7. The flow cytometer of Claim 6, wherein  
said shell is substantially spherical in configuration  
with the center of said sphere being coincident with the  
cell in the analysis zone and wherein the axis of each  
aperture lying on a different radial axis of said shell  
so that each aperture faces toward the cell in the  
analysis zone.

15      8. The flow cytometer of Claim 6, wherein  
said shell is partially parabolic in configuration  
including a partial parabolic reflective surface ending  
at a flat circular plate positioned perpendicular to  
said beam of light wherein the focus of said parabola  
being coincident with the cell in the analysis zone,  
20      said flat circular plate having said plurality of  
apertures and said opening for the light beam.

25      9. The flow cytometer of Claim 8 wherein said  
apertures are formed in concentric rows with said  
opening in the center thereof, each of said apertures  
being directed perpendicular to the plane of said  
circular plate.

30      10. The flow cytometer of Claims 6, 7, 8, or  
9, wherein said optical fibres are removably mounted  
within said apertures thereby permitting light to be  
collected at different incident angles.

-12-

1 11. The flow cytometer of Claims 6, 7, 8, or  
9, wherein said apertures are of several different sizes  
for receiving optical fibres of different diameters  
thereby varying the collection angle.

5 12. The flow cytometer of Claims 6, 7, 8, or  
9, wherein said optical fibres are adjustably mounted  
within said apertures allowing variation in the depth  
said optical fibres are received in each aperture  
thereby varying the collection angle by varying the  
10 distance between said cell and said optical fibre.

10 13. The flow cytometer of Claims 6, 7, 8, or  
9, wherein a plurality of said optical fibres are  
connected to a photomultiplier for allowing light from  
different incident and collection angles to be analyzed  
15 together.

15 14. The flow cytometer of Claims 6, 7, 8, or  
9, wherein a plurality optical fibres are rigidly  
secured in the apertures of said shell and a variation  
in said incident and collection angles being obtained by  
20 connecting the optical fibres to a plurality of selected  
photomultipliers.

25 15. The flow cytometer of Claims 6, 7, 8, or  
9, wherein said shell is adjustably mounted to allow  
adjustment of the position of said shell relative the  
analysis zone.

25 16. The flow cytometer of Claims 6, 7, 8, or  
9, wherein said shell is secured in a fixed position  
relative to said analysis zone.

30 17. The flow cytometer of Claim 1, wherein  
said transporting means includes a flow chamber through  
which said particle stream passes, said analysis zone  
being within said flow chamber.

-13-

1 18. The flow cytometer of Claims 6, 7, or 8,  
wherein said transporting means includes a flow chamber  
through which said particle stream passes, said analysis  
zone being within said flow chamber, said flow chamber  
being within said shell.

5 19. The flow cytometer of Claim 1, wherein  
said transporting means includes a flow chamber through  
which said particle stream passes, said analysis zone  
being within said flow chamber, said flow chamber having  
10 a partially parabolic surface having a reflective  
coating thereon, said partial parabolic surface ending  
at a flat circular plate having an opening for allowing  
said light beam to pass through.

15 20. The flow cytometer of Claim 19, wherein  
said flat circular plate of said flow chamber includes a  
plurality of apertures for receiving said optical fibre.

21. The flow cytometer of claims 6, 7 or 8  
wherein said electronic means includes at least one  
photomultiplier.

20 22. The flow cytometer of Claim 21 further  
including a color discriminating filter connected to  
each of said fibres.

25 23. The flow cytometer of Claim 22 wherein  
said color discriminating filters are positioned at the  
point where said fibres enter said photomultipliers.

24. A method for determining the properties  
of particles comprising:

passing a stream of particles through a zone  
of analysis;

30

35

-14-

1. directing a light beam perpendicularly intersecting same stream of particles, said light being refracted by said particles as each particle passes through the zone of analysis;

5. collecting the refracted light with at least one optical fibre;

electronically analyzing said collected refracted light to determine the desired information.

10. 25. The method of Claim 24, wherein said stream of particles includes cells in suspension.

15. 26. The method of Claim 25, wherein said cells are singly passed through said zone of analysis and said light beam is directed such that only a single cell is exposed to said beam.

20. 27. The method of Claim 24, further including the step of adjusting the distance between said optical fibre and said zone of analysis.

25. 28. The method of Claim 24, wherein said refracted light is collected by an array of optical fibres.

20. 29. The method of Claim 28, wherein said array of optical fibres are removably and adjustably mounted to a shell surrounding said zone of analysis.

25. 30. The method of Claim 28, wherein said array of optical fibres are fixedly mounted to a shell surrounding said zone of analysis.

30. 31. The method of Claim 24, wherein said zone of analysis is within a flow chamber.

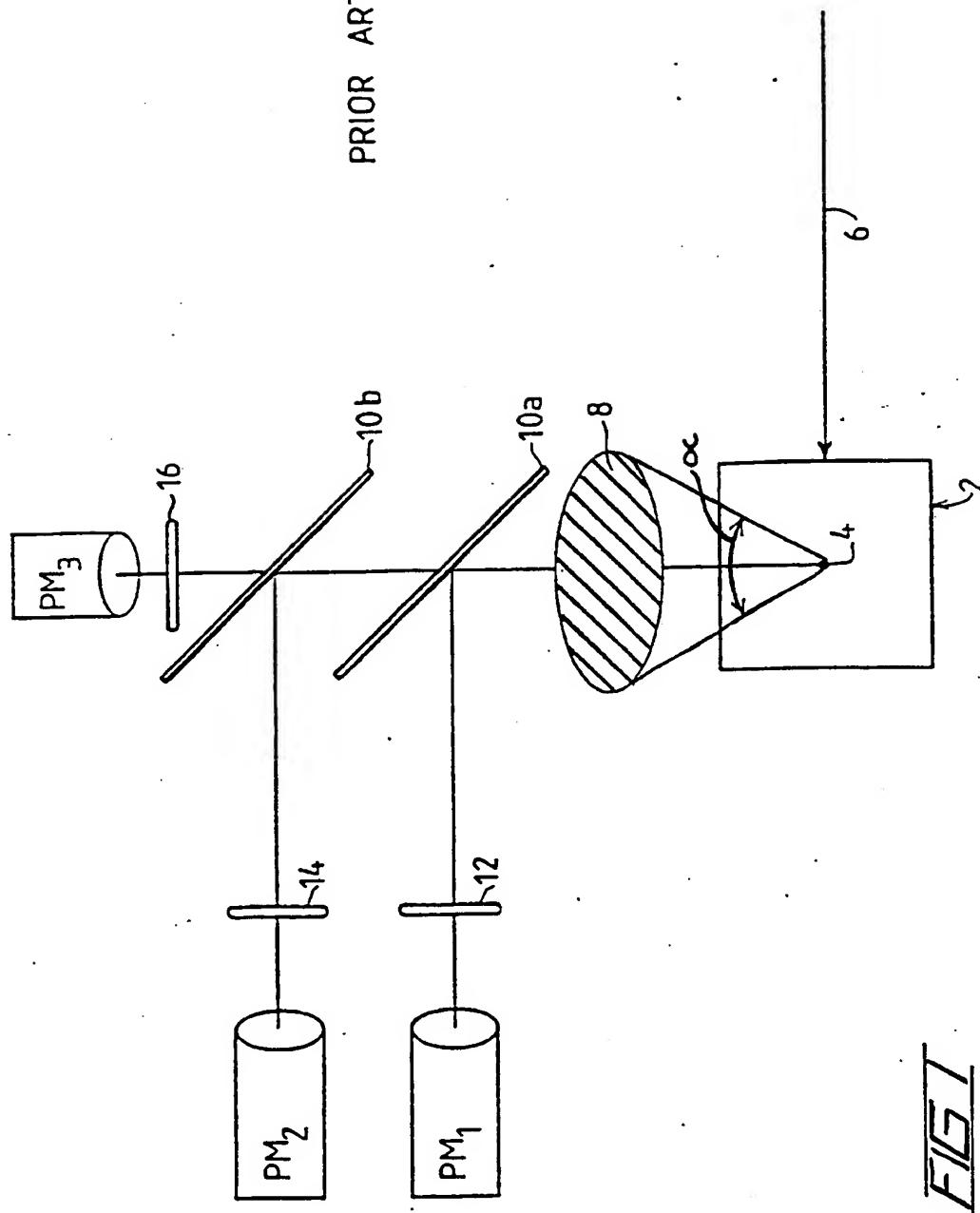
35. 32. The method of Claim 28 or 29, further including reflecting the light refracted by said particle off the inner surface of said shell and wherein said array of optical fibres collects said reflected light.

-15-

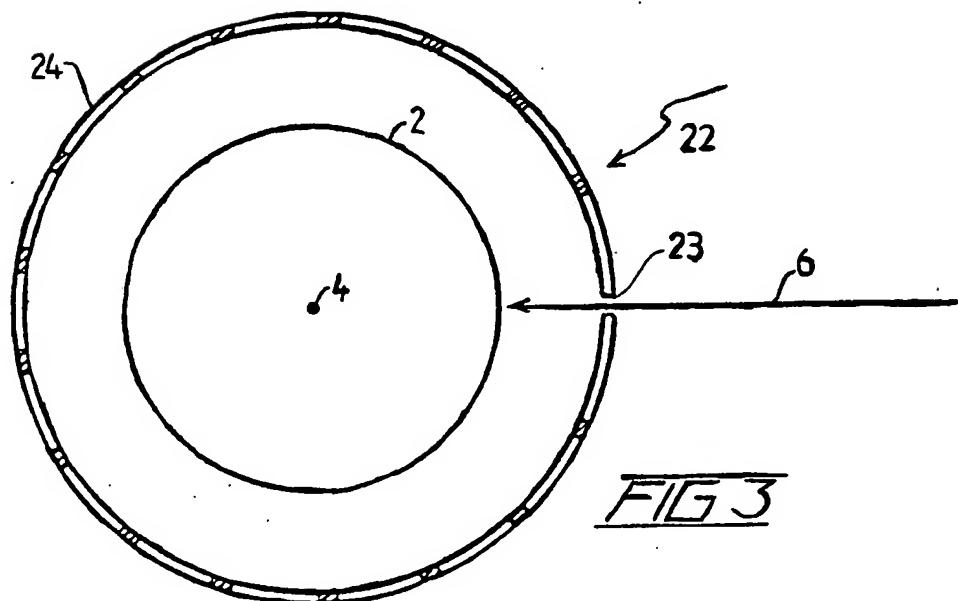
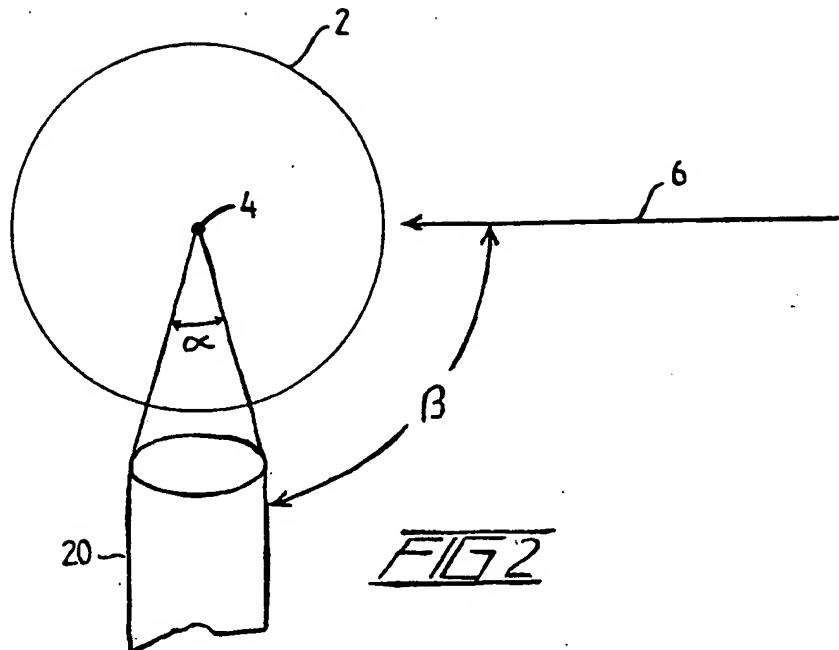
1 33. The method of Claim 24, further including  
the step of connecting said optical fibre to a photo-  
multiplier for connecting said collected light into a  
series of electrical pulses.

1/4

PRIOR ART



SUBSTITUTE SHEET



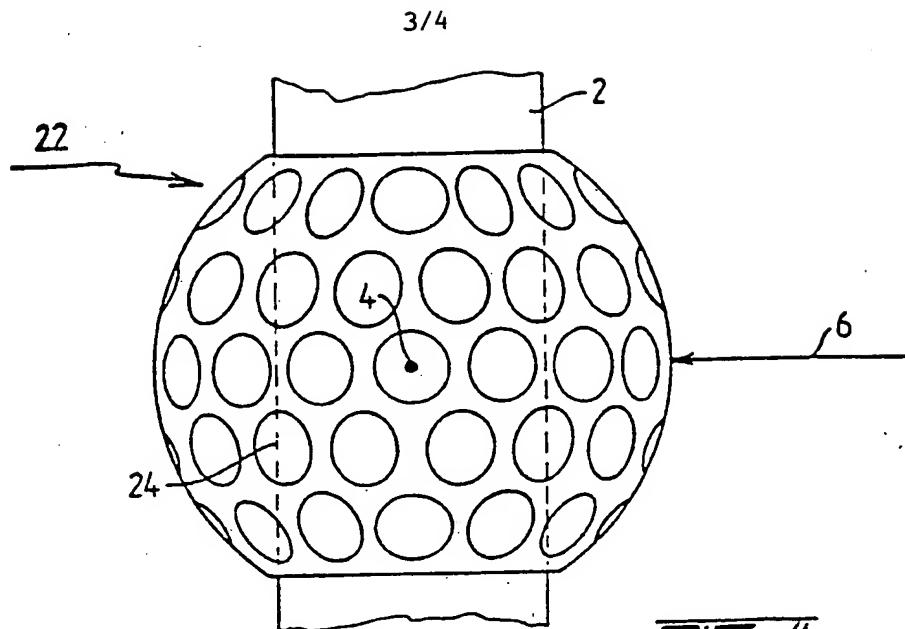


FIG 4

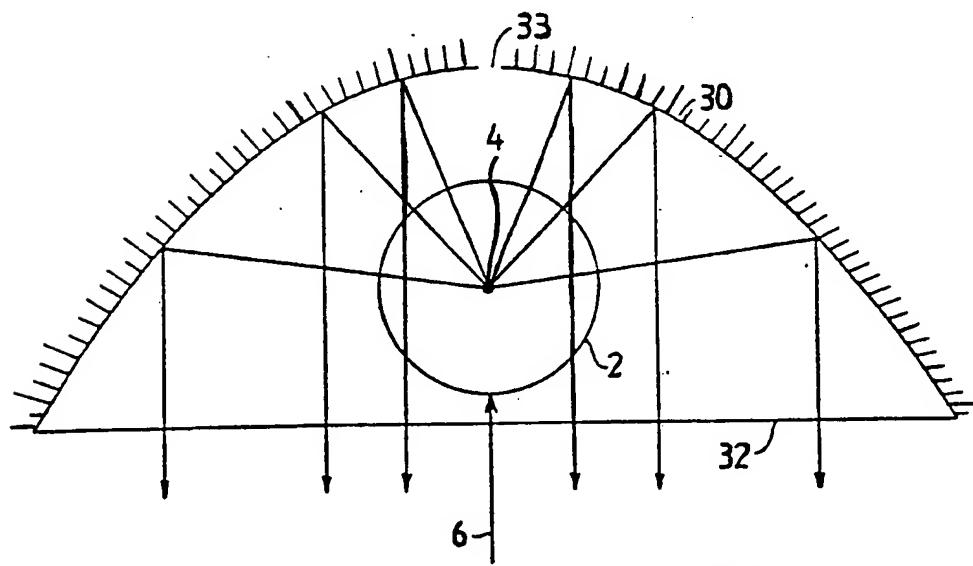
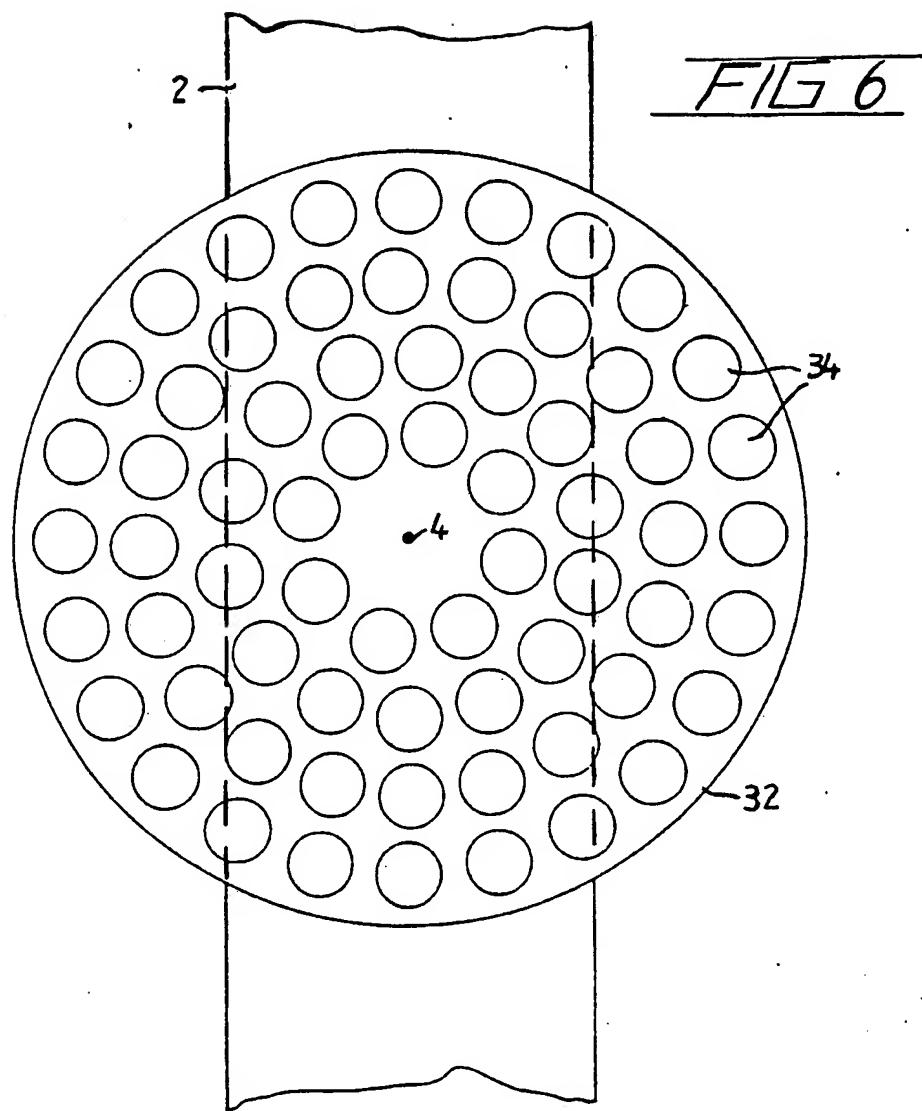


FIG 5

4/4



**INTERNATIONAL SEARCH REPORT**  
PCT/US85/00314  
International Application No.

**I. CLASSIFICATION OF SUBJECT MATTER** (If several classification symbols apply, indicate all) <sup>14</sup>

According to International Patent Classification (IPC) or to both National Classification and IPC  
**INT. CL. 4 GUIN 21/00**  
 U.S. CL. 356/73, 340

**II. FIELDS SEARCHED**

Minimum Documentation Searched <sup>4</sup>

Classification System	Classification Symbols
US	356/39, 72,73,336,37,338,339,340,341,343 250/227

Documentation Searched other than Minimum Documentation  
to the Extent that such Documents are Included in the Fields Searched <sup>5</sup>

**III. DOCUMENTS CONSIDERED TO BE RELEVANT** <sup>14</sup>

Category <sup>6</sup>	Citation of Document, <sup>16</sup> with indication, where appropriate, of the relevant passages <sup>17</sup>	Relevant to Claim No. <sup>18</sup>
A	US, A, 3,781,112, Published 25 December 1973, Groner et al.	1-33
A	US, A, 4,101,276, Published 18 July 1978 Anderson	1-33
Y	US, A, 4,175,865, Published 27 November 1979 Horvath et al.	1-33
Y	US, A, 4,200,802, Published 29 April 1980 Saltzman et al.	1-33
Y	US, A, 4,201,471, Published 6 May 1980 Pitt et al.	1-33
Y	US, A, 4,250,394, Published 10 February 1981 O'Connor	1-33
A	US, A, 4,348,107, Published 7 September 1982 Leif	1-33

\* Special categories of cited documents: <sup>16</sup>

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

**IV. CERTIFICATION**

Date of the Actual Completion of the International Search <sup>19</sup>

7 May 1985

Date of Mailing of this International Search Report <sup>20</sup>

13 MAY 1985

International Searching Authority <sup>21</sup>

ISA/US

Signature of Authorized Officer <sup>22</sup>